

12/06/01

J131 U.S. PTO

S&amp;H Form: PTO/SB/05 (2/01)

# UTILITY PATENT APPLICATION

Attorney Docket No. 469.1091 (DMP)

First Named Inventor or Application Identifier:  
Roger J.W. CROAD et al.

## TRANSMITTAL

Express Mail Label No.

(Only for original applications)

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 22]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 17 ] [FIGS. 1-18 ]
4. ☒ Oath or Declaration .....[ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment (cover sheet & document(s)) to Oxford Instruments Plasma Technology Limited and Universitat Gesamthochschule Kassel of Oxfordshire, United Kingdom and Kassel, Germany  
☒ for publication of assignee information under 37 CFR 1.215(b)
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

## 18. CORRESPONDENCE ADDRESS



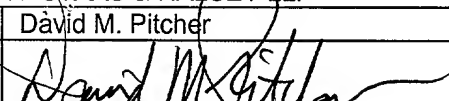
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PATENT TRADEMARK OFFICE

12/06/01

J1131 U.S. PTO

S&amp;H Form (2/01)

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.	469.1091 (DMP)		
		Application Number			
		Filing Date	December 6, 2001		
AMOUNT ENCLOSED	\$ 780.00	First Named Inventor	Roger J.W. CROAD et al.		
<b>FEE CALCULATION (fees effective 10/01/00)</b>					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	16 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	1 - 3 =	0	X \$ 84.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+	\$280.00
				BASIC FILING FEE	740.00
				Total of above Calculations =	\$ 740.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
				TOTAL FILING FEE =	\$ 740.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				40.00
				TOTAL FEES DUE =	\$ 780.00
<b>METHOD OF PAYMENT</b>					
<input checked="" type="checkbox"/> Check enclosed as payment. <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).					
<b>GENERAL AUTHORIZATION</b>					
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:					
Deposit Account No.		19-3935			
Deposit Account Name		STAAS & HALSEY LLP			
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.					
<b>SUBMITTED BY: STAAS &amp; HALSEY LLP</b>					
Typed Name	David M. Pitcher			Reg. No.	25,908
Signature				Date	December 6, 2001

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JC781 U.S. PTO  
10/003239  
12/06/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Roger J.W. CROAD et al.

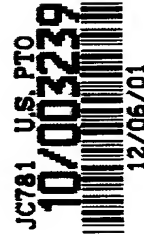
Application No.:

Group Art Unit: Unassigned

Filed: December 6, 2001

Examiner: Unassigned

For: SUBSTRATE LOADING APPARATUS



**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. § 1.55**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.55, the applicant(s) submit(s)  
herewith a certified copy of the following foreign application:

European Patent Application No. 00311559.9

Filed: December 21, 2000

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing  
date(s) as evidenced by the certified papers attached hereto, in accordance with the  
requirements of 35 U.S.C. § 119.

Respectfully submitted,

STAAS & HALSEY LLP

Date: December 6, 2001

By: 

David M. Pitcher  
Registration No. 25,908

700 11th Street, N.W., Ste. 500  
Washington, D.C. 20001  
(202) 434-1500